PTO/SB/96 (06-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATE	MENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Spinal Concepts, Inc.	
Application No./Patent No.: See Attachment A	Filed/Issue Date: See Attachment A
Entitled: See Attachment A	
Spinal Concepts, Inc.	, a <u>corporation</u>
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. the assignee of the entire right, title, and inte	rest; or
2. an assignee of less than the entire right, title The extent (by percentage) of its ownership i in the patent application/patent identified above by	interest is ———— %
A. [-] An assignment from the inventor(s) of the pa in the United States Patent and Trademark O. attached.	Itent application/patent identified above. The assignment was recorded ffice at Reel, Frame, or for which a copy thereof is (See Attachment A)
OR	(See Attachment A)
B. [] A chain of title from the inventor(s), of the patients below:	ent application/patent identified above, to the current assignee as shown
The document was recorded in the Un Reel, Frame	To: ited States Patent and Trademark Office at, or for which a copy thereof is attached. To:
	ited States Patent and Trademark Office at, or for which a copy thereof is attached.
3. From:	То:
Reel, Frame	ited States Patent and Trademark Office at, or for which a copy thereof is attached.
[] Additional documents in the chain of tit	
[] Copies of assignments or other documents in the [NOTE: A separate copy (i.e., a true copy of the submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPI	e original assignment document(s)) must be e with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is a	
Date	<u>Jeffrey R. Binder</u> ↑ Typed or pented name
(512) 918-2700	
Telephone number	Signature
	Vice President, Spinal Concepts, Inc. Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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09/691279	10/17/2000	Status	Issue Date	Patent No.	Attorney Docket No.	Tideline Branch and State of the State of th	Inventor a 26 a	Inventor # Recordation Date 2 Presentation
0.171.00.00	1000211100	Glanted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
								008359/0658 Recorded 1/21/97;
09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Waqner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen	009603/0209 Recorded 11/23/98; 008756/0146 Becorded 10/14/07
						Adjustable Height Fusion	Stephen	000603/02/00 Percented
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Device	Houchschuler	11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	1	010396/0094 Recorded 11/22/99

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Page 1

Applie tion No. Filing Date	FIIIng Date	Status	Status Issue Date	Petentino	Attorney Doctor No	Attention Designation		Salgunding Reduction of the
10/036012	12/26/2001	Filed			5259-00600USC1	e E	anner.	Necotanonicam; a segment
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Frik / Wagner	000450/0047 Decoluted 9/11/90
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J Wagner	009450/0059 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	1 Depth	Richard D. Guver	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	to-Cervical zation System and d	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Polyaxial	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

Application No. Filling Date:	FilingiDate	Status	Issue Date = Patenti No	Patent No.	Attorney Docker No.		HIGH Named B	AssignmentReeVirtume None
						Polyaxial		
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
						Polyaxial Pedicle Screw		
						Having a Compression	O dosol	
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1		Errico	009275/0021 Recorded 6/30/98
						Polyaxial Pedicle Screw		
000000				-		naving a Compression Locking Rod Gripping	Joseph P.	
09/0/0708	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2		Errico	009275/0021 Recorded 6/30/98
700000						Intervertebral Space Shape	Joseph P.	009490/0692 Recorded
00/077031	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Conforming Cage Device	Errico	10/15/98
						Compression Locking Variable Length Cross-Link Device for Use with Dual		
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USO1	Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
						Advanced Compression	4	
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Locking variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/208560	00077277	1				Apparatus for Manufacturing		
20702	4/20/1999	Granted	3/2002	6442814	5259-03100USO1	a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Waqner	011073/0752 Recorded 9/13/00
10/015206	11/19/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
10/735976	12/15/2003	Filed			5259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

Application/No Filing Date	Filing Date	Status :	Issue Date: Patent No	Patent No.	Attorney Docket No. Title Teacher	THOSE STATES OF THE PARTY OF TH	EirstiNamed E.M. Inventory	Assignment/Rest/Franch/Reserved
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed	·		5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed		•	5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

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Application No. Filing Date	Filing Date	Status	Issue Date:	F. Patent N	ol Attorney.Docket.No: Tille		First Named Section 1	Elicity and St. (Assignment Residence of Assignment Re
						and Methods for	Javier Garcia-	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed			5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	7.02 L.	201707/705710
10/351288	1/24/2003	1 <u>1</u>				Spinal Plate System for Stabilizing a Portion of a		court to panional a topulation
29/155057	2/1/2002	Filed				Typension Plate	Jim Freid	014302/0118 Recorded 7/15/03
						Bone Fastner Assembly for a Spinal Stabilization	Michael E.	Assignment filed 4/2/04
10/698049	10/30/2003	Filed			5259-10700USO1	System	Landry	Reel/Frame not yet received
						Spinal Stabilization Systems and Methods Using	L	
10/698010	10/30/2003	Filed			5259-10700USO2	Minimally Invasive Surgical Procedures	Michael E. Landry	Assignment filed 3/30/04 Reel/Frame not vet received
10/697793	10/30/2003	Filed		· · · · · · · · · · · · · · · · · · ·	5259-10700USO3	Spinal Stabilization Systems and Methods	Michael E. Landry	Assignment filed 3/29/04 Reel/Frame not yet received
10/698046	10/30/2003	Filed			5259-10800USO1	Spinal Stabilization System Using Flexible Members	Michael E.	Assignment filed 3/31/04 Reel/Frame not ver received
10/698966	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	James F. Marino	Assignment Filed 3/12/2004; Reel/Frame not vet received
10/699618	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	David J. Krueger	Assignment filed 4/2/04 Reel/Frame not vet received
10/331191	12/27/2002	Filed			5259-12300USP1	S.	Reginald J. Davis	014164/0207 Becorded 6/12/03

ractitioner's Docket No. (See Attachment A) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19 7004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court Bldg. F Austin, TX 78727

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 6 19 04

Signatur

Kimberly A Joria

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3))

Application No Filing Date: Status Issue Date: Patenti No	Filing Date	Satus	Kejegjenssj	Patent No.	Automov Docked No.		First Named 124	Assignment Real Framo No. (3)
09/691279	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
				~ 3				008359/0658 Recorded 1/21/97;
09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Filing Date	FIIIng Date	Status	Issue Date	Status	Attorney Docker No.	Attorney Docker, No. Tripe Technology Attorney Docker, No. Tripe Technology Tripe	First Named T	Assignment(Reel/Frame)Nox.(3)
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Waqner	009450/0959 Recorded 9/11/98
	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
08/265005	6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	Releasable Socket	Erik J. Wagner	
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

		<u> </u>			,					,	
AssignmentiReel/Framo No. 3. Recordation to a feet and the second of the	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009490/0692 Recorded 10/15/98	James P. Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	011073/0752 Recorded 9/13/00
FirstNamed Mr.	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	James P. Errico	Joseph P. Errico	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner
Title	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism.	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method
Attorney Docketino	5259-02300USD1	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1
Patentino	6017344	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566
Status Issuel Date Patent N	1/25/2000	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003
Status	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted
Filling Date	3/4/1999	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000
Application No. Filling Date	09/261977	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387

LIST OF PATÉNTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Ellingi Date: Status	Elling Date	Status	issue Date: Ratentin	Patentino	No. K. Attorney, Docket No.	Tide The Party of	First Named F	AssignmentiReel/Frame(No. 8:) Recordation Dates:
10/015206	11/19/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed	· .	u	5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed		t •ie	5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	J. Jones	012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Filing Date: Status	FilingiDate		issue Date:	Patent No.	O. Attorney Docket No. Title		FirstiNamed Ter	FirstNamed# c Assignment/Reel/FrameNores Recordadon/Date/&
10/200024	7/19/2002	Filed	-		5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
20000	11/9/2002	70			6250 0830011004	- crico	Javier Garcia-	013706/0202 Becorded 204/03
10/291243	11/6/2002	Lileo			10000000-8070	Spirial miplant	Del ige ioa	UIST SOUZZE NECOIDED ZIZATOS
				:		Instruments and Methods for Javier Garcia-	Javier Garcia-	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
						Spinal Plate System for		
10/351283	1/23/2003	Filed			5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
						Spinal Plate System for Stabilizing a Portion of a		
10/351288	1/24/2003	Filed			5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/035052	12/28/2001	Granted	5/18/2004	6736850	5259-12300USO1	Pseudo Arthrosis Device	Reginald J. Davis	
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03